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Offer name	Nikko AM NZ Investment Scheme Offer	Offer number	OFR10608	
Fund name	FND473	Fund number	FND473	
Period disclosure applies [dd/mm/yyyy]		30/09/2022 0:00		
Asset name	% of fund net assets	Security code		
Outstanding Settlement Payments - USD		23.78% OSPAYUSD		
Buy Nzd:Sell Usd 23/11/2022		4.73% NZDUSD22327B		
BNZ 1.884% 08/06/2026		4.65% NZBNZDT397C8		
ASB Bank Ltd 210627 5.524 Cb		4.26% NZABBDT044C9		
Westpac 11am Call Account		3.79% NZDNOMS		
Westpac New Zealand Ltd 160227 3.696 Cb		3.33% NZWNZD0227L7		
Westpac Newzealand Ltd 160932 6.19 Cb		3.13% NZWNZD01T2L9		
Liberty Finance 090223 3.70 Cb		3.12% NZLBFDO223L6		
Infratil Ltd 151222 5.65 Cb		3.03% NZIFTD0240L8		
Skellerup Holdings Limited		3.03% NZSKXE0001S8		
Heartland Group Hlnpv		2.96% NZHGHE0007S9		
Spark New Zealand Ltd		2.81% NZTELE0001S4		
SBS Bank 280328 7.16 CB		2.81% NZSBBDT129C8		
TR Group 4.533% 07/03/2024		2.75% NZTRGD0324L9		
Fonterra Co-Operative 5.08% 19/06/2025		2.73% NZFCGDT310C3		
Outstanding Settlement Receipts - EUR		2.68% USMSCOLL		
Infratil Limited		2.60% NZIFTE0003S3		
Stride Stapled Grp Npv Units		2.55% NZSPGE0001S2		
Contact Energy Limited		2.35% NZCENE0001S6		
NZ Local Govt Funding Agency 3.50% 14/04/2033		2.21% NZLGFDT009C0		
Works Finance Nz Ltd Pref Share		2.18% NZWKSDP001S3		
Contact Energy Ltd 110428 5.82 Cb		2.08% NZCEND0070L8		
Fletcher Building Industries Ltd 150323 5.00 Cb		2.08% NZFBIDA017C4		
ICBC NZ 2.61% 27/06/2024		2.06% NZICBDT009C3		
Auckland Intl. Airport 3.51% 10/10/2024		2.05% NZAIADO230L0		
Auckland Council 280950 2.95 Cb		2.02% NZAKCDT520C6		
Housing NZ 3.42% 18/10/2028		1.95% NZHNZD0628L7		
Chorus Limited		1.94% NZCNU0001S2		
Scales Corp Ltd		1.93% NZSCLE0002S8		
GMT Bond Issuer Ltd 201227 3.656 Cb		1.90% NZGMBDT008C5		
Summerset Group Holdings Ltd 210927 2.30 Cb		1.75% NZSUMD0030L6		
Argosy Property Ltd 271027 2.20 Cb		1.74% NZARGDT003C2		
NZ Local Govt Funding Agency 150531 2.25 Cb		1.70% NZLGFDT017C3		
Chorus Ltd 021230 2.51 Cb		1.65% NZCNUDT005C1		
Kiwi Property Group Ltd 190728 2.85 Cb		1.61% NZKPGD0050L3		
EBOS Group Limited		1.59% NZEBOE0001S6		
Outstanding Settlement Receipts - AUD		1.56% USBRCOLL		
Meridian Energy Ltd NPV		1.50% NZMELE0002S7		
Kiwibank Ltd - Preference Shares		1.39% NZKIWD0001S3		
Genesis Energy Ltd		1.35% NZGNEE0001S7		
Contact Energy Ltd 191151 4.33 Cb		1.29% NZCEND0060L9		
Genesis Energy Ltd 140328 4.17 Gb		1.26% NZGNEDG006C0		
Investore Property Ltd		1.18% NZIPLE0001S3		
Outstanding Settlement Receipts - NZD		1.04% OSRECNZD		
Christchurch International Airport Ltd 240524 4.13 CB		1.04% NZCHCDT004C4		
Mercury NZ Limited		1.01% NZMRPE0001S2		
Argosy Property Limited		1.00% NZARGE0010S7		
Wellington International Airport 140826 2.50 Cb		0.91% NZWIAD0070L4		
Housing NZ 2.183% 24/04/2030		0.87% NZHNZD0230L2		
ANZ NZ 3.03% 20/03/2024		0.56% NZANBDT023C9		
USD BNP Paribas A/C		0.50% USDBNPP		
China Construction Bank 4.005% 19/06/2023		0.42% NZCCBDT011C5		
Bank Of New Zealand 180324 Frn		0.41% NZBNZDT400C0		
Industrial And Commercial Bank Of China 210323 Rcd		0.41% NZF03DT056C1		
China Construction Bank New Zealand Ltd 250923 0.954 Cb		0.30% NZCCBDT013C1		
Kiwibank Ltd 2.155% 20/09/2024		0.29% NZKIWD0924L1		
Income Due - NZD		0.25% INCDUNZD		
Westpac NZ 3.72% 23/03/2023		0.23% NZWNZD0323L4		
BNZ 4.102% 15/06/2023		0.21% NZBNZDT387C9		
BNZ 3.648% 16/11/2023		0.10% NZBNZDT394C5		
Bank of China NZ 4.02% 19/04/2023		0.07% NZBOCDT003C8		
Outstanding Settlement Receipts - USD		0.07% OSRECUUSD		
Opz1hh0y Otcbo Ust 131022 Call Strike 2.580085 Ms		0.00% DU0OPZ1HH0Y2		
Future Margins-USD		0.00% FUTMUSD		
Outstanding Settlement Receipts - CAD		0.00% USDEFFE		
Opz1h92m Otcbo Ust 031022 Call Strike 2.429935 Ms		0.00% DU0OPZ1H92M5		
Opz1hb7y Otcbo Ust 051022 Call Strike 2.509907 Morgans		0.00% DU0OPZ1HB7Y3		

Opz1hh0w Otcbo Ust 121022 Call Strike 2.539937 Ms	0.00%	DU0OPZ1HH0W6
Opz1hbg1 Otcbo Ust 061022 Call Strike 2.550155 Barc	0.00%	DU0OPZ1HBGL3
Opz1hlm6 Otcbo Ust 201022 Call Strike 2.800133 Ms	-6.33E-07	DU0OPZ1HLM68
Opz1hpk7 Otcbo Ust 311022 Call Strike 3.000175 Barc	0.00%	DU0OPZ1HPK74
Opz1hrt1 Otcbo Ust 071122 Call Strike 3.050093 Barc	0.00%	DU0OPZ1HRT16
Opz1j0pc Otcbo Ust 221122 Call Strike 3.480117 Barc	-0.03%	DU0OPZ1J0PC2
Opz1j13t Otcbo Ust 281122 Call Strike 3.450058 Morgan	-0.03%	DU0OPZ1J13T2
Opz1j13v Otcbo Ust 281122 Put Strike 4.049773 Morgan	-0.03%	DU0OPZ1J13V8
Opz1j0pf Otcbo Ust 221122 Put Strike 3.980238 Barc	-0.04%	DU0OPZ1J0PF5
Management Fees	-0.07%	MGMN2D
Opz1hrt2 Otcbo Ust 071122 Put Strike 3.550097 Barc	-0.09%	DU0OPZ1HRT24
Opz1hpk8 Otcbo Ust 311022 Put Strike 3.499851 Barc	-0.10%	DU0OPZ1HPK82
Opz1hlm7 Otcbo Ust 201022 Put Strike 3.29978 Ms	-0.15%	DU0OPZ1HLM76
Opz1hh0z Otcbo Ust 131022 Put Strike 3.079832 Ms	-0.21%	DU0OPZ1HH0Z9
Opz1hh0x Otcbo Ust 121022 Put Strike 3.04013 Ms	-0.22%	DU0OPZ1HH0X4
Opz1hbgm Otcbo Ust 061022 Put Strike 3.050011 Barc	-0.22%	DU0OPZ1HBGM1
Opz1hb7z Otcbo Ust 051022 Put Strike 3.010046 Morgans	-0.24%	DU0OPZ1HB7Z0
Opz1h92n Otcbo Ust 031022 Put Strike 2.930157 Ms	-0.26%	DU0OPZ1H92N3
Outstanding Settlement Payments - NZD	-2.08%	OSPAYNZD
Sell Usd:Buy Nzd 23/11/2022	-5.15%	USDNZD22327S
United States Treasury 150832 2.75 Gb	-6.71%	US91282CFF32
United States Treasury 150532 2.875 Gb	-17.10%	US91282CEP23