

Offer name	Harbour Investment Funds	Offer number	OFR10909
Fund name	Harbour Long Short Fund	Fund number	FND12572
Period disclosure applies [dd/mm/yyyy]	30/09/2020		
Asset name	% of fund net assets	Security code	
ANZ NZD Cash	33.43%		
Macquarie Margin AUD AUD	20.03%		
JP Morgan AUD Cash	3.73%		
The A2 Milk Company Limited	3.48%	NZATME0002S8	
Kathmandu Holdings Limited	2.68%	NZKMDE0001S3	
Rio Tinto Limited	2.62%	AU000000RIO1	
Mainfreight Limited	2.33%	NZMFTE0001S9	
Infratil Limited	2.32%	NZIFTE0003S3	
EBOS Group Limited Ordinary Shares	2.28%	NZEBOE0001S6	
Pacific Edge Limited	2.27%	NZPEBE0002S1	
Summerset Group Ltd	2.13%	NZSUME0001S0	
Pushpay Holdings Limited	2.00%	NZPAYE0003S9	
CSL Limited	1.95%	AU000000CSL8	
Macquarie Group Limited	1.87%	AU000000MQG1	
Contact Energy Limited	1.81%	NZCENE0001S6	
National Australia Bank Ords	1.78%	AU000000NAB4	
Fisher & Paykel Healthcare Corp Ltd	1.76%	NZFAPE0001S2	
Aristocrat Leisure Limited	1.74%	AU000000ALL7	
Afterpay Limited	1.74%	AU000000APT1	
Serko Limited	1.54%	NZSKOE0001S7	
NZ Government Stock 15/04/2023 5.50%	1.51%	NZGOVDT423C0	
Volpara Health Technologies Limited	1.34%	NZVHTE0001S6	
Xero Limited	1.33%	NZXROE0001S2	
Pinnacle Investment Mgmt Group Limited	1.31%	AU000000PNI7	
Spark New Zealand Limited	1.29%	NZTELE0001S4	
AFT Pharmaceuticals Limited	1.10%	NZAFTE0001S4	
Kogan.com Limited	1.03%	AU000000KGN2	
IDP Education Limited	1.01%	AU000000IEL5	
Scales NZ	0.95%	NZSCLE0002S8	
Ramsay Health Care	0.94%	AU000000RHC8	
Goodman Group	0.90%	AU000000GMG2	
ANZ Bank NZ Limited 22/03/2021 4.00%	0.66%	NZANBDT016C3	
Aroa Biosurgery Limited	0.59%	AU00000094146	
4DMedical Limited	0.51%	AU00000095416	
Sanford Ltd Ords	0.42%	NZSANE0001S0	
Westpac NZ Limited 07/06/2022 3.775%	0.41%	NZWNZD0622L9	
Christchurch City Holdings 20/11/2020	0.40%	NZCCHDT876C5	
Bank of New Zealand 27/07/2022 3.856%	0.40%	NZBNZDT389C5	
Westpac NZ Limited 28/04/21 3.795%	0.38%	NZWNZD0421L6	
ANZ Bank NZ Limited 01/09/2022 3.75%	0.37%	NZANBDT021C3	
Kommunalbanken AS 07/06/2023 3.375%	0.34%	NZKBNDT010C4	

Access Innovation Holdings Limited	0.28%	AU0000101636
ASB Bank Limited 22/02/2022 FRN	0.22%	NZABBDT043C1
Municipality Finance PLC 06/23 0.625%	0.19%	NZMFPDT004C9
China Construction Bank NZ 09/23 0.954%	0.18%	NZCCBDT013C1
Christchurch City Hldgs 06/12/22 3.40%	0.17%	NZCCHDT839C3
Transpower NZ Limited 15/03/2023 5.448%	0.17%	NZTRPDO323L2
Powerco Limited 28/09/2022 4.76%	0.15%	NZPWCDT007C3
Chorus Limited 06/05/2021 4.12%	0.15%	NZCNUDT002C8
Fonterra Cooperative Grp 07/03/23 4.42%	0.14%	NZFCGDT004C7
Westpac NZ Limited 20/02/2023 FRN	0.13%	NZWNZD0223L6
Bank of New Zealand 29/08/2022 FRN	0.12%	NZBNZDT395C2
ANZ Bank NZ Limited 02/09/2021 3.33%	0.12%	NZANBDT018C9
Fonterra Cooperative Grp 25/02/14 5.90%	0.11%	NZFCGDT266C7
MUGF Bank Limited 04/04/2022 FRN	0.11%	NZMUFDT001C8
HSBC 16/08/22 FRN	0.10%	NZHKBDT009C4
Port of Tauranga 29/01/2021 4.792%	0.09%	NZPOTD0121L7
Genesis Energy Limited 20/07/2022 1.32%	0.09%	NZGNEDT008C1
Trustpower Limited 15/12/2022 4.01%	0.09%	NZTPWDT150C2
Spark Finance Limited 25/03/2022 4.50%	0.07%	NZSPFD0322L1
Nordic Investment Bank 18/03/21 3.125%	0.06%	NZNIBDT010C8
Grey District Council 28/03/2022 FRN	0.06%	NZGDCDT011C9
ICBC (NZ) Limited 11/06/2021 FRN	0.06%	NZICBDT006C9
Import Export Bank of Korea 07/21 3.50%	0.06%	NZXKMDT001C8
Precinct Property NZ Ltd 17/12/21 5.54%	0.05%	NZPCTDT001C1
Nordic Investment Bank 19/01/23 3.00%	0.04%	NZNIBDT011C6
GMT Bond Issuer Ltd 16/12/2020 6.20%	0.04%	NZGMBDT002C8
Bank of China (NZ) Ltd 17/10/2022 4.09%	0.03%	NZBOCDT001C2
Contact Energy Limited 15/11/2022 4.63%	0.03%	NZCEND0040L1
Christchurch Intl Airprt 04/10/21 6.25%	0.02%	NZCIADT002C9
Kiwi Property Group Ltd 20/08/21 6.15%	0.02%	NZKIPD0010L3
Spark Finance Limited 10/03/2023 4.51%	0.01%	NZSPFD0323L9
Zip Co Limited	-0.44%	AU000000Z1P6
Regis Healthcare Limited	-0.62%	AU000000REG6
Link Administration Holdings Limited	-0.88%	AU000000LNK2
Computershare Limited	-0.90%	AU000000CPU5
WBC IRS 24/12/2020 Payable Leg	-0.93%	
Wisetech Global Limited	-0.95%	AU000000WTC3
Appen Limited	-1.05%	AU000000APX3
Freedom Foods Group Limited	-1.22%	AU000000FNP3
Platinum Asset Management Limited	-1.28%	AU000000PTM6
Genesis Energy Limited	-1.30%	NZGNEE0001S7
NZ Refining Co Ltd Ords	-1.71%	NZNZRE0001S9
Chorus Limited	-1.91%	NZCNUUE0001S2
Air New Zealand Ords	-1.94%	NZAIREE0001S2
AGL Energy Limited	-1.95%	AU000000AGL7
BUY NZD:SELL AUD 14/12/2020	16.25%	
CBA IRS 21/08/2022 Receivable Leg	3.69%	
WBC IRS 24/12/20 Receivable Leg	0.92%	
ANZ IRS 03/12/2021 Receivable Leg	0.92%	
Kiwibank Deposit A/C 20/11/2020	0.31%	
Kiwibank Deposit A/C 20/1/2021	0.31%	
Wpac Bank Deposit AC 23/2/2021	0.31%	

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Kiwibank Deposit A/C 6/1/2021	0.25%
Kiwibank Deposit A/C 11/2/2021	0.23%
MUFG Bank Deposit A/C 18/11/2020	0.18%
Bank Of China Deposits 22/12/2020	0.18%
Bank Of China Deposits 2/11/2020	0.16%
ASB Bank Deposit A/C 22/12/2020	0.15%
Kiwibank Deposit A/C 4/2/2021	0.10%
ASB Bank Deposit A/C 27/10/2020	0.06%
ANZ Margin Ac Swp NZ	0.02%
WBC Margin Ac Swp NZ	0.02%
ANZ IRS 03/12/2021 Payable Leg	-0.94%
CBA IRS 21/08/2022 Payable Leg	-3.69%
SELL AUD:BUY NZD 14/12/2020	-16.19%