

Offer name	Castle Point Funds	Offer number	OFR10746
Fund name	Castle Point 5 Oceans Fund	Fund number	FND1223
Period disclosure applies [dd/mm/yyyy]	30/09/2020		
<b>Asset name</b>	<b>% of fund net assets</b>	<b>Security code</b>	
Acadian Managed Volatility (via the Mercer Low Volatility Shares Portfolio)	17.80%	MLVS	
Daintree Core Income Trust NZD	15.10%	AU60WPC06960	
T. Rowe Price DYN GLB Bond FD AU	14.85%	AU60ETL03984	
Schroder Glb Recovery Fund P Class	9.94%	AU60SCH47571	
BNZ NZD current account	8.28%	Cash at Bank - NZD	
BOC Call Account	4.19%	BOC CALL	
AMP Capital NZ Short Duration	2.91%	AMPCAPNZAIFY	
ZELNZ 4.01% 01/11/2021	2.43%	NZZELD0040L6	
Kohinoor Core Class B	1.96%	UKINORCB	
Kohinoor Pacific Fund Class A (AUD)	1.90%	UKINORPA	
NAB AUD current account	1.55%	Cash at Bank - AUD	
Retail Food Group Ltd	1.08%	AU000000RFG3	
Fletcher Building Ltd	1.04%	NZFBUE0001S0	
CNUNZ 4.12% 06/05/2021	1.03%	NZCNUDT002C8	
OFX Group Limited	0.85%	AU000000OFX5	
AMA Group Limited	0.81%	AU000000AMA8	
BCHINA Term Deposit 1.55% 09/09/2020 09/03/2021	0.81%	BCHINA 1.55 200909 210309	
IVE Group Limited	0.80%	AU000000IGL0	
AFT Pharmaceuticals Ltd	0.79%	NZAFTE0001S4	
Redbubble Ltd	0.79%	AU000000RBL2	
MacMahon Holdings Ltd	0.78%	AU000000MAH3	
Reject Shop Ltd/The	0.77%	AU000000TRS9	
Australian Vintage Limited	0.73%	AU000000AVG6	
Swick Mining Services Ltd	0.67%	AU000000SWK8	
Coventry Group Ltd	0.66%	AU000000CYG6	
Boom Logistics Ltd	0.63%	AU000000BOL9	
IFTNZ 6.85 15/06/22	0.62%	NZIFTD0190S0	
Gale Pacific Ltd	0.55%	AU000000GAP8	
KIWI Term Deposit 1.5% 11/08/2020 09/02/2021	0.54%	KIWI 1.5 200811 210209	
KIWI Term Deposit 1.6% 17/07/2020 13/01/2021	0.51%	KIWI 1.6 200717 210113	
BCHINA Term Deposit 1.4% 24/07/2020 22/10/2020	0.51%	BCHINA 1.4 200724 201022	
Corporate Travel Management	0.44%	AU000000CTD3	
ASBBNK Term Deposit 1.64% 12/06/2020 09/12/2020	0.44%	ASBBNK 1.64 200612 201209	
Capral Ltd	0.43%	AU000000CAA9	
Sezzle Inc-CDI	0.35%	AU0000050981	
Fisher & Paykel Healthcare Ltd	0.32%	NZFAPE0001S2	
PCT 5.54 17/12/2021	0.25%	NZPCTDT001C1	
EROAD Limited	0.18%	NZERDE0001S5	
A2 Milk Company Ltd	0.18%	NZATME0002S8	
MoneyMe Ltd	0.14%	AU0000066045	
Contact Energy Ltd	0.14%	NZCENE0001S6	
Debtors	0.13%	Debtors	
Spark New Zealand Ltd	0.13%	NZTELE0001S4	
Auckland International Airport Ltd	0.12%	NZAIAE0002S6	
Meridian Energy Limited	0.12%	NZMELE0002S7	
Ryman Healthcare Ltd	0.11%	NZRYME0001S4	
IFT 5.65% 15/12/2022	0.11%	NZIFTD0240L8	

AS51 17/12/20 5400 Put Option	0.09%	AU9001378648
AS51 16/12/21 4800 Put Option	0.09%	AU9002843137
Mainfreight Ltd	0.07%	NZMFTE0001S9
Laybuy Holdings Ltd	0.07%	NZLBYE0001S0
Infratil Ltd	0.07%	NZIFTE0003S3
ANZ NZ NFIX3FRA + 73 21/12/2020	0.06%	NZANBDG030C9
Mercury NZ Limited	0.06%	NZMRPE0001S2
Sky City Entertainment Group	0.04%	NZSKCE0001S2
AS51 18/03/21 4800 Put Option	0.04%	AU9002264995
Goodman Property Trust	0.04%	NZCPT E0001S9
Chorus Ltd	0.03%	NZCNU E0001S2
AS51 17/06/21 4800 Put Option	0.03%	AU9001987562
AS51 16/09/21 4800 Put Option	0.03%	AU9002377235
Genesis Energy Ltd	0.03%	NZGNEE0001S7
Z Energy Ltd	0.03%	NZZELE0001S1
Ebos Group Ltd	0.02%	NZEBOE0001S6
GBP NZD Maturing 12/11/2020 (4113034Z NZ)	0.02%	GBP NZD CIF 20201112 WSTP
Precinct Properties NZ Ltd	0.02%	NZAPTE0001S3
AUD NZD Maturing 12/11/2020 (ANB NZ)	0.02%	AUD NZD CE 20201112 ANZ NZ
Kiwi Property Group Ltd	0.02%	NZKPG E0001S9
EUR NZD Maturing 12/11/2020 (4113034Z NZ)	0.02%	EUR NZD CIF 20201112 WSTP
AUD NZD Maturing 12/11/2020 (4113034Z NZ)	0.02%	AUD NZD CEF 20201112 WSTP
AS51 16/09/21 4900 Put Option	0.02%	AU9002377276
IFTNZ 4.9% 15/06/2021	0.02%	NZIFTD0220L0
TPWNZ 5.63% 15/12/2021	0.02%	NZTPWDT140C3
NZD USD Maturing 12/11/2020 (ANB NZ)	0.01%	NZD USD CIF 20201112 ANZ NZ
Property for Industry Ltd	0.01%	NZPFIE0001S5
Castle Point NAB AUD Account	0.01%	CP NAB AUD
Argosy Property Trust	0.01%	NZARGE0010S7
Port of Tauranga Ltd	0.01%	NZPOTE0003S0
Fee Pay Receive 2020-09-30	0.01%	Fee Pay Receive 2020-09-30
Actinogen Medical Ltd	0.01%	AU000000ACW3
WBC AU 16/06/22 15 Put Option	0.01%	AU9002226432
NZD USD Maturing 12/11/2020 (4113034Z NZ)	0.01%	NZD USD CIF 20201112 WSTP
WBC AU 16/06/22 14.5 Put Option	0.00%	AU9002261348
AUD NZD Maturing 12/11/2020 (ANB NZ)	0.00%	AUD NZD CEF 20201112 ANZ NZ
AUD NZD Maturing 12/11/2020 (4113034Z NZ)	0.00%	AUD NZD CIF 20201112 WSTP
NAB AU 16/06/22 15 Put Option	0.00%	AU9002247172
OMF Financial AUD Margin A/c	0.00%	OMF AUD
CBA AU 17/06/21 64 Put Option	0.00%	AU9000388382
Argo Exploration Ltd	0.00%	AU000000AXT5
AUD NZD Maturing 12/11/2020 (ANB NZ)	0.00%	AUD NZD CIF 20201112 ANZ NZ
CBA AU 17/06/21 62 Put Option	0.00%	AU9000504129
Steel and Tube Hldgs Ltd	0.00%	NZSUTE0001S5
NZD CHF Maturing 12/11/2020 (4113034Z NZ)	0.00%	NZD CHF CIF 20201112 WSTP
NAB AU 17/06/21 15 Put Option	0.00%	AU9002247057
ANZ AU 16/12/21 15 Put Option	0.00%	AU9002231531
WBC AU 17/06/21 15 Put Option	0.00%	AU9002226374
NZD CAD Maturing 12/11/2020 (4113034Z NZ)	0.00%	NZD CAD CIF 20201112 WSTP

CBA AU 17/12/20 60 Put Option	0.00%	AU9000308158
Harmony Loans	0.00%	HARLOAN
QBE AU 17/12/20 8 Put Option	0.00%	AU9000073646
MQG AU 06/17/21 104 Put Option	0.00%	AU9000393382
CSL AU 17/06/21 184 Put Option	0.00%	AU9000366966
Cash at Bank	0.00%	Cash at Bank - USD
ANZ AU 17/12/20 15 Put Option	0.00%	AU9002231333
NAB AU 17/12/20 14.5 Put Option	0.00%	AU9002271495
WBC AU 17/12/20 14.5 Put Option	0.00%	AU9002261181
WOW AU 12/17/20 23.91 Put Option	0.00%	AU000WOWEJ70
Harmony - Cash at Call	0.00%	HARMONEY CALL
CSL AU 17/12/20 152 Put Option	0.00%	AU000CSLC884
WES AU 17/12/20 25.54 Put Option	0.00%	AU9000005887
Kohinoor Pacific Fund (AUD) Equalization (Castle)	-0.01%	KOHPAC (AUD) EQUALIZATION
NZDJPY Maturing 12/11/2020 (4113034Z NZ)	-0.01%	NZDJPY CIF 20201112 WSTP
AUDNZD Maturing 12/11/2020 (4113034Z NZ)	-0.01%	AUDNZD CE 20201112 WSTP
Creditors	-0.54%	Creditors