

DISCLOSE REGISTER - FULL PORTFOLIO HOLDINGS

1

Offer name	SMARTSHARES EXCHANGE TRADED FUNDS	Offer number	OFR10865
Fund name	SMARTSHARES NZ CASH ETF	Fund number	FND1104
Period disclosure applies [dd/mm/yyyy]	30/09/2023		
Asset name	% of fund net assets	Security code	
New Zealand Local Government Funding Agency 2.25% 15/04/2	8.54%	NZLGFDT011C6	
New Zealand Government 0.50% 15/05/24	2.79%	NZGOVDT524C5	
Transpower New Zealand 6.03% 14/09/2026	2.23%	NZTRPD0926L2	
Dunedin City Treasury Ltd 5.71% 15/02/24 FRN	2.13%	NZDCTDT122C9	
Auckland Airport 6.22% 11/10/25 FRN	1.95%	NZAIAD1025L3	
Bank of New Zealand 6.11% 03/09/2024 TD	1.93%	DU0TZ24903A2	
Westpac New Zealand 6.025% 06/09/24 TD	1.92%	DU0TZ24906B3	
Westpac New Zealand 6.064% 15/03/24 TD	1.92%	DU0TZ24315A9	
ANZ Bank 6.06% 15/04/24 TD	1.92%	DU0TZ24415C3	
MUFG Bank Ltd 23/02/24 RCD	1.69%	NZMUFDO054L3	
BANK OF NEW ZEALAND 140824 6.17 TD	1.55%	DU0TZ24814B9	
WESTPAC NEW ZEALAND 270524 6.205 TD	1.54%	DU0TZ24527B7	
ANZ BANK NEW ZEALAND LTD WELLINGTON 151223 5.85 TD	1.54%	DU0TZ23D15A6	
ANZ BANK NEW ZEALAND LTD 111223 RCD	1.52%	NZF02DT468C9	
ASB Bank 5.11% 17/10/23 TD	1.51%	DU0TZ23O17A9	
Westpac New Zealand 5.735% 24/11/23 TD	1.41%	DU0TZ23N24D1	
ANZ BANK NEW ZEALAND 241123 5.70 TD	1.41%	DU0TZ23N24C3	
ICBC NEW ZEALAND LTD 081223 5.95 TD	1.41%	DU0TZ23D08A1	
ASB BANK LTD 251123 5.64 TD	1.41%	DU0TZ23N25A4	
Auckland Intl Airport 3.97% 2/11/2023	1.36%	NZAIADT210L1	
BANK OF NEW ZEALAND 280824 6.20 TD	1.35%	DU0TZ24828B9	
ANZ BANK NEW ZEALAND WELLINGTON 270224 6.05 TD	1.35%	DU0TZ24227C2	
ANZ BANK WELLINGTON 210624 6.19 TD	1.34%	DU0TZ24621B8	
MUFG BANK LTD 081223 RCD	1.33%	NZMUFDN342L3	
MUFG BANK LTD 080324 RCD	1.31%	NZMUFDO068L3	
KIWIBANK LTD 280824 6.18 TD	1.25%	DU0TZ24828C7	
KIWIBANK LTD 021023 RCD	1.25%	NZKIWDN275L2	
WESTPAC NEW ZEALAND 220324 6.4233 TD	1.18%	DU0TZ24322A5	
WESTPAC NEW ZEALAND 210824 6.3091 TD	1.16%	DU0TZ24821A6	
BANK OF CHINA LTD 080324 6.30 TD	1.15%	DU0TZ24308A4	
BANK OF CHINA LTD 131223 6.15 TD	1.15%	DU0TZ23D13B9	
WESTPAC NEW ZEALAND 160824 6.27 TD	1.15%	DU0TZ24816B4	
ASB BANK LTD 270624 6.32 TD	1.15%	DU0TZ24627A7	
BANK OF NEW ZEALAND LTD 021023 RCD	1.15%	NZF01DT631C3	
MERCURY NZ LIMITED 081123 PNOTE	1.14%	NZMICYDT309C4	
MERCURY NZ LIMITED 241123 PNOTE	1.14%	NZMICYDT310C2	
MUFG BANK LTD 291123 RCD	1.14%	NZMUFDN333L2	
CONTACT ENERGY LTD 111223 PNOTE	1.14%	NZCENDN345L8	
Nz Local Govt Fd Ag Bill 0.00% 8/11/2023	1.05%	NZLGBDT262C9	
MUFG BANK LTD 080124 RCD	1.04%	NZMUFDO008L9	
WESTPAC NEW ZEALAND 271023 5.3636 TD	1.01%	DU0TZ23O27A8	
ASB BANK LTD 131023 5.06 TD	1.01%	DU0TZ23O13A8	
WESTPAC NEW ZEALAND 120124 5.899 TD	1.00%	DU0TZ24112A0	
WESTPAC BANKING CORPORATION WELLINGTON 270224 5.986	0.99%	DU0TZ24227B4	
WESTPAC NEW ZEALAND 061023 5.718 TD	0.99%	DU0TZ23O06C8	
ASB BANK LTD 070524 6.00 TD	0.98%	DU0TZ24507A1	
ASB BANK LTD 270524 6.29 TD	0.98%	DU0TZ24527A9	
ANZ BANK NEW ZEALAND 291123 6.04 TD	0.98%	DU0TZ23N29A6	
ASB BANK LTD 050624 6.25 TD	0.98%	DU0TZ24605A3	
WESTPAC NEW ZEALAND 110624 6.242 TD	0.98%	DU0TZ24611A1	
KIWIBANK LTD 210624 6.22 TD	0.97%	DU0TZ24621A0	
WESTPAC BANKING CORPORATION WELLINGTON 240724 6.288	0.97%	DU0TZ24724A2	
BANK OF NEW ZEALAND 010824 6.31 TD	0.97%	DU0TZ24801B6	
ASB BANK LTD 010824 6.30 TD	0.97%	DU0TZ24801A8	
ANZ BANK WELLINGTON 160824 6.22 TD	0.97%	DU0TZ24816A6	
Bank of New Zealand 18/03/24 FRN	0.96%	NZBNZDT400C0	
MERIDIAN ENERGY LTD 311023 PNOTE	0.95%	NZMELDT098C6	
MERCURY NZ LIMITED 011123 PNOTE	0.95%	NZMICYDT308C6	
AUCKLAND INTERNATIONAL AIRPORT LTD 151123 PNOTE	0.95%	NZAIADN319L6	
ASB BANK LTD 241123 RCD	0.95%	NZF04DT524C7	
CONTACT ENERGY LTD 271123 PNOTE	0.95%	NZCENDN331L8	
MERCURY NZ LIMITED 081223 PNOTE	0.95%	NZMICYDT313C6	
PORT OF TAURANGA LTD 181223 PNOTE	0.95%	NZPOTDN352L1	
KIWIBANK LTD 191223 RCD	0.95%	NZKIWDN353L7	
PORT OF TAURANGA LTD 291223 PNOTE	0.94%	NZPOTDN363L8	
ANZ BANK NEW ZEALAND WELLINGTON 260724 6.18 TD	0.87%	DU0TZ24726A7	
KIWIBANK LTD 291123 6.07 TD	0.78%	DU0TZ23N29B4	

KIWIBANK LTD 050624 6.15 TD	0.78% DU0TZ24605B1
CONTACT ENERGY LTD 091023 PNOTE	0.77% NZCENDN282L3
MUFG BANK LTD 271023 RCD	0.76% NZMUFDN300L1
AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED 2311	0.76% NZF02DT413C5
KIWIBANK LTD 231123 RCD	0.76% NZKIWDN327L1
MUFG BANK LTD 160124 RCD	0.75% NZMUFD0016L2
INDUSTRIAL AND COMMERCIAL BANK OF CHINA 090224 6.15 TI	0.70% DU0TZ24209A4
GENESIS ENERGY LTD 191023 PNOTE	0.67% NZGNEDT097C4
ANZ NZD Current Account	0.65%
Toyota Fin New Zealand 6.46% 11/09/2026	0.64% NZTFSDT845C5
PORT OF TAURANGA LTD 161123 PNOTE	0.57% NZPOTDN320L8
BANK OF CHINA NEW ZEALAND 141223 PNOTE	0.57% NZBOCDN346L8
Toyota Fin New Zealand 6.49% 18/05/2026	0.45% NZTFSDT835C6
MERCURY NZ LIMITED 291123 PNOTE	0.13% NZMICYDT311C0
Bank of New Zealand NZD Current Account	0.10%
Net Current Assets	-0.51%