

DISCLOSE REGISTER - FULL PORTFOLIO HOLDINGS

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Offer name	SMARTSHARES EXCHANGE TRADED FUNDS	Offer number	OFR10865
Fund name	SMARTSHARES NZ BOND ETF	Fund number	FND1103
Period disclosure applies [dd/mm/yyyy]	30/09/2023		
Asset name	% of fund net assets	Security code	
Housing New Zealand Ltd	4.422% 15/10/27	5.06% NZHNZD0008L2	
New Zealand Local Government Funding Agency	1.50% 15/04/2	4.12% NZLGFDT014C0	
Housing New Zealand Ltd	3.42% 18/10/28	3.44% NZHNZD0628L7	
New Zealand Local Government Funding Agency	3.50% 14/04/3	3.44% NZLGFDT009C0	
Housing New Zealand Ltd	2.247% 05/10/26	3.39% NZHNZD0001L7	
Housing New Zealand Ltd	2.183% 24/04/30	3.23% NZHNZD0230L2	
Housing New Zealand Ltd	1.534% 10/09/35	2.86% NZHNZD0935L6	
Westpac New Zealand Ltd	2.083% 20/02/2025	2.55% NZWNZD0225L1	
Nz Local Govt Fund Agenc	4.50% 15/04/2027	2.21% NZLGFDT007C4	
Nz Local Govt Fund Agenc	1.50% 20/04/2029	2.21% NZLGFDT012C4	
Genesis Energy Ltd	5.00% 3/04/2025	2.03% NZGNEDT007C3	
Nz Local Govt Fund Agenc	4.50% 15/05/2030	2.02% NZLGFDT020C7	
Nz Local Govt Fund Agenc	2.75% 15/04/2025	1.99% NZLGFDT008C2	
Westpac New Zealand Ltd	6.73% 14/02/2034	1.93% NZWNZD02T2L8	
Nz Local Govt Fund Agenc	2.25% 15/05/2028	1.89% NZLGFDT018C1	
Transpower New Zealand	1.735% 4/09/2025	1.85% NZTRPD0070L9	
Fonterra Cooperative Grp	5.08% 19/06/2025	1.65% NZFCGDT310C3	
Net Current Assets		1.57%	
Kiwibank Ltd	2.635% 5/10/2026	1.53% NZKIWD1026L4	
Kiwibank Ltd	2.155% 20/09/2024	1.50% NZKIWD0924L1	
Chorus Ltd	4.35% 6/12/2028	1.41% NZCNUDT003C6	
ASB Bank Limited	1.83% 19/08/2024	1.40% NZABBDG004C8	
Sbs Bank	6.14% 7/03/2029	1.35% NZSBBDT370C8	
Anz Bank New Zealand Ltd	5.22% 16/02/2028	1.34% NZANBDT025C4	
Mercury Nz Ltd	5.64% 19/06/2028	1.32% NZMICYDG006C1	
Kfw	1.75% 19/05/2028	1.29% NZKFZDT004C9	
Dunedin City Treasury	1.93% 18/04/2028	1.23% NZDCTDT199C7	
New Zealand Local Government Funding Agency	2.25% 15/04/2	1.21% NZLGFDT011C6	
Asian Development Bank	4.00% 27/05/2027	1.20% NZADBDT016C5	
Asian Development Bank	2.125% 19/05/2031	1.20% NZADBDT013C2	
Vector Ltd	4.996% 14/03/2024	1.16% NZVCTDT009C6	
Asian Development Bank	3.75% 18/08/2025	1.13% NZADBDT017C3	
Christchurch City Hldgs	5.043% 6/04/2028	1.12% NZCCHDT901C1	
ASB Bank Limited	1.646% 4/05/2026	1.12% NZABBDG005C5	
Intl Bk Recon & Develop	4.25% 29/07/2027	1.12% NZIBDDT020C9	
Bank Of New Zealand	1.884% 8/06/2026	0.98% NZBNZDT397C8	
Westpac New Zealand Ltd	1.439% 24/02/2026	0.98% NZWNZD0226L9	
Powerco Limited	4.67% 15/11/2024	0.96% NZPWCDT009C9	
Auckland Council	3.338% 27/07/2026	0.88% NZAKCDT410C0	
Westpac New Zealand Ltd	2.22% 29/07/2024	0.87% NZWNZD0724L3	
Housing New Zealand Ltd	3.36% 12/06/2025	0.84% NZHNZD0625L3	
Auckland Intl Airport	5.67% 9/05/2028	0.83% NZAIAD0250L8	
Bank Of New Zealand	2.16% 29/01/2025	0.77% NZBNZDT396C0	
New Zealand Government	1.50% 15/05/2031	0.75% NZGOVDT531C0	
Resnz 2023-1 A	7.56% 16/01/2055	0.73% NZRVGB1001R9	
Wellington Intl Airport	4.00% 1/04/2030	0.69% NZWIAD0060L5	
Auckland Council	4.176% 24/03/2025	0.69% NZAKCDT363C1	
Dunedin City Treasury	3.79% 16/10/2024	0.69% NZDCTDT135C1	
Nz Local Govt Fund Agenc	2.25% 15/05/2031	0.69% NZLGFDT017C3	
Intl Finance Corp	4.875% 13/12/2029	0.68% NZIFCDT015C6	
Intl Bk Recon & Develop	4.625% 2/02/2028	0.68% NZIBDDT021C7	
Inter-American Devel Bk	4.75% 25/01/2030	0.67% NZIBDDT010C0	
Mtfnz P21 A	6.51% 15/06/2029	0.62% NZPANTFAT019	
Intl Bk Recon & Develop	0.75% 10/06/2026	0.60% NZIBDDT016C7	
Heartland Bank Ltd	3.55% 12/04/2024	0.60% NZHBLD0020L2	
Gmt Bond Issuer Ltd	3.656% 20/12/2027	0.60% NZGMBDT008C5	
Fpqc 2023-2 A	7.11% 15/08/2030	0.58% NZFPFD1049R3	
Westpac New Zealand Ltd	3.696% 16/02/2027	0.51% NZWNZD0227L7	
Nz Local Govt Fund Agenc	2.00% 15/04/2037	0.50% NZLGFDT016C5	
Intl Bk Recon & Develop	2.875% 30/11/2026	0.50% NZIBDDT019C1	
Toyota Fin New Zealand	1.73% 6/09/2024	0.48% NZTFSDT727C5	
Kiwi Property Group Ltd	4.06% 12/11/2025	0.48% NZKPGD0040L4	
Mtfnz O23 A	7.26% 16/09/2030	0.47% NZOPATFAT017	
L-Bank Bw Foerderbank	4.00% 15/04/2027	0.45% NZLBKDT003C1	
Nordic Investment Bank	4.375% 21/02/2030	0.44% NZNIBDT013C2	
Wellington Intl Airport	3.32% 24/09/2031	0.41% NZWIAD0080L3	
Auckland Council	2.013% 10/07/2025	0.40% NZAKCDT484C5	

Dunedin City Treasury 0.676% 16/11/2026	0.40% NZDCTDT191C4
Bank Of New Zealand 4.985% 7/06/2027	0.39% NZBNZDT401C8
Auckland Council 2.95% 28/09/2050	0.38% NZAKCDT520C6
Dunedin City Treasury 3.22% 27/11/2028	0.37% NZDCTDT211C0
Meridian Energy Limited 5.91% 20/09/2028	0.37% NZMELDT096C0
Kiwi Property Group Ltd 4.33% 19/12/2024	0.36% NZKPGD0030L5
ANZ NZD Current Account	0.36%
Christchurch City Hldgs 3.58% 27/11/2024	0.36% NZCCHDT853C4
Blunz 2022-2 A1L 7.46% 16/02/2054	0.36% NZBSNC1002R1
Anz Bank New Zealand Ltd 3.03% 20/03/2024	0.35% NZANBDT023C9
Contact Energy Ltd 3.55% 15/08/2024	0.34% NZCEND0050L0
Resnz 2022-1 A1 7.66% 16/06/2054	0.32% NZRVGA1035R9
Port Of Tauranga Ltd 1.02% 29/09/2025	0.32% NZPOTD0925L1
Dunedin City Treasury 2.09% 15/11/2026	0.31% NZDCTDT173C2
Chorus Ltd 2.51% 2/12/2030	0.29% NZCNUDT005C1
ASB Bank Limited 5.928% 16/11/2026	0.29% NZABBDG006C3
ASB Bank Limited 5.524% 21/06/2027	0.29% NZABBDT044C9
Bank of New Zealand 5.536% 25/05/28	0.28% NZBNZDT402C6
Christchurch Intl Airpor 4.13% 24/05/2024	0.26% NZCHCDT004C4
Bank Of New Zealand 3.648% 16/11/2023	0.26% NZBNZDT394C5
Udcau 2022-1 A 7.51% 20/12/2029	0.25% NZUDCDB001R0
Nz Local Govt Fund Agenc 3.00% 15/05/2035	0.23% NZLGFDT019C9
Dunedin City Treasury 3.98% 15/04/2026	0.23% NZDCTDT109C6
Insurance Australia Grp 5.32% 15/06/2038	0.22% NZIAGDT004C1
Gmt Bond Issuer Ltd 4.74% 14/04/2027	0.22% NZGMBDT009C3
Fonterra Cooperative Grp 4.15% 14/11/2025	0.20% NZFCGDG005C4
Gmt Bond Issuer Ltd 4.54% 31/05/2024	0.18% NZGMBDT004C4
Sbs Bank 4.32% 18/03/2027	0.15% NZSBBDT297C3
Tr Group Ltd 3.532% 5/10/2026	0.15% NZTRGD1026L9
Auckland Council 2.411% 20/10/2027	0.14% NZAKCDT547C9
AvANZ 2022-1 A1 7.31% 15/07/2054	0.14% NZAVGA1001R7
Auckland Council 5.806% 25/03/2024	0.11% NZAKCDT324C3
Transpower New Zealand 2.047% 8/09/2026	0.08% NZTRPD0090L7
Transpower New Zealand L 5.893% 15/03/2028	0.08% NZTRPD0328L1
Transpower New Zealand 4.627% 16/09/2027	0.07% NZTRPD0100L4
Auckland Intl Airport 3.51% 10/10/2024	0.07% NZAIAD0230L0
Transpower New Zealand 2.73% 14/03/2024	0.06% NZTRPD0060L0
Transpower New Zealand 3.823% 6/03/2025	0.06% NZTRPD0050L1
Auckland Intl Airport 3.29% 17/11/2026	0.06% NZAIAD0240L9
Spark Finance Ltd 3.94% 7/09/2026	0.06% NZSPFD0570L5
Spark Finance Ltd 3.37% 7/03/2024	0.06% NZSPFD0580L4
Transpower New Zealand 1.52% 8/04/2026	0.05% NZTRPD0080L8
Christchurch City Hldgs 3.01% 5/11/2026	0.05% NZCCHDT895C5
Ind & Comm Bk Chn Nz Ltd 2.61% 27/06/2024	0.05% NZICBDT009C3
Hsbc Bank New Zealand 1.835% 16/08/2024	0.05% NZHKBDT010C2
Toyota Fin New Zealand 2.71% 23/04/2024	0.05% NZTFSDT719C2
Spark Finance Ltd 4.37% 29/09/2028	0.04% NZSPFD0928L5
Auckland Intl Airport 5.29% 17/11/2028	0.04% NZAIAD0260L7
Toyota Fin New Zealand 5.75% 6/12/2027	0.03% NZTFSDT821C6
Christchurch Intl Airpor 5.18% 19/05/2028	0.02% NZCHCDT005C1